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Attorney Docket No. 826.1411D4/JIM

First Named Inventor or Application Identifier:

Yutaka KATSUYAMA

Express Mail Label No.

09/755046

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**UTILITY** 

PATENT APPLICATION

TRANSMITTAL

## **APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents,
Box Patent Application
Washington, DC 20231

see 37 CFR 1.63(d)(2) and 1.33(b).

5. [ ] Applicant claims small entity status.

1. [X] Fee Transmittal Form

- 6. [ ] Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 7. [ ] Microfiche Computer Program (Appendix)
- 8. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. [ ] Computer Readable Copy
  - b. [ ] Paper Copy (identical to computer copy)
  - c. [ ] Statement verifying identity of above copies

## **ACCOMPANYING APPLICATION PARTS**

- [X] Copy of Recorded Assignment Papers (cover sheet & document(s))
- 10. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
- 11. [ ] English Translation Document (if applicable)
- 12. [ ] Information Disclosure Statement (IDS)/PTO-1449 [ ]
  - [ ] Copies of IDS Citations

- 13. [X] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. [X] Copy of Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. [ ] Other:
- 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
  - [ ] Continuation [X] Divisional [ ] Continuation-in-part (CIP) of prior application No: \_\_\_\_/
- 18. CORRESPONDENCE ADDRESS



21171

PATENT TRADEMARK OFFICE

January 8, 2001

Date

## S&H Form (10/00) Attorney Docket No. 826.1411D4/JIM **NEW APPLICATION** New Application Number FEE TRANSMITTAL Filing Date January 8, 2001 AMOUNT ENCLOSED \$ 1,190.00 First Named Inventor Yutaka KATSUYAMA FEE CALCULATION (fees effective 10/01/00) (1) FOR (2) NUMBER FILED (3) NUMBER EXTRA (4) RATE (5) CALCULATIONS **CLAIMS** X\$ 18.00 =TOTAL CLAIMS 0.00 -20 =0 X\$ 80.00 = INDEPENDENT CLAIMS 0 480.00 6 - 3 = MULTIPLE DEPENDENT CLAIMS (any number; if applicable) + \$270.00 = 710.00 **BASIC FILING FEE** Total of above Calculations = 1,190.00 + Surcharge for late filing fee, Statement or Power of Attorney (\$130.00) Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28). \$ 1,190.00 TOTAL FILING FEE = + Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1)) 1,190.00 **TOTAL FEES DUE = METHOD OF PAYMENT** [X] Check enclosed as payment. Charge "TOTAL FEES DUE" to the Deposit Account No., below. [] No payment is enclosed and no charges to the Deposit Account are authorized at this time. **GENERAL AUTHORIZATION** [X] If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to: Deposit Account No. 19-3935 Deposit Account Name STAAS & HALSEY LLP The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR [X]1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application. SUBMITTED BY: STAAS & HALSEY LLP 43,824 Typed Name Jon H. Muskin Reg. No.

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Signature